

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



## FILING COMPLETION UNDER RULE 53(f)

( NOT PCT Applications)  
For Design, Provisional, or Utility ApplicationsPATENT  
APPLICATIONCOMPLETION Under  
Rule 53(f)In re PATENT APPLICATION of

Inventor(s): FIRE et al.

Appln. No.: 09

Series Code ↑

Serial No. ↑

Filed: December 18, 1998

Title: Genetic Inhibition By Double-Stranded RNA

Attn: Application Division

Atty.Dkt. PMS 256628

M#

Client Ref

(Our Deposit Account No. 03-3975)

(Our order No. 20263

256628

C#

M#

Date: March 19, 1999

Hon. Commissioner of Patents and Trademarks  
Washington, DC 20231Sir:  
The following completes the filing under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts**  copy attached  not yet received  
 2.  Signed Declaration attached.  Original  Facsimile/Copy

(Always "X" box 2 if filing signed Declaration and  
 "X" box 2A only if top box of the Declaration is X'd and file application copy, or  
 "X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A.  Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.  
 2B.  The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.  
 3.  Specification originally filed in non-English language; hence verified translation attached of:  
   a.  Abstract  
   b. #  pages of Specification(only spec. & claims)  
   c.  Drawing Fig(s). \_\_\_\_\_  
 4.  Letter filing formal drawing attached.  
 5.  Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.  
 6. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) 60/068,562	December 23, 1997	(2)	02/19/97
(3)		(4)	02/19/97
(5)		(6)	

7. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in \_\_\_\_\_

8.

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

0000057 02/19/97

R/NGT

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R/NGT

R/NGT

03/22/99 R/NGT

P1 FC 1063/98

Completion Under Rule 53(b)

9. \_\_\_\_\_ (No.) Certified copy (copies):  attached;  previously filed (date) filed on \_\_\_\_\_ / \_\_\_\_\_
10.  Attached: 2 (No.) Verified Statement(s) establishing "small entity" status under Rules 9 & 27.
11.  Attached:
12.  Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

				Large/Small Entity		Fee Code
13. Basic Filing Fee .....		Design Application Not Design Application		\$310/\$155 \$760/\$380	+380	106/26 101/201
14. Total Effective Claims	39	minus 20 =	19	x \$18/\$9	+171	103/203
15. Independent Claims	4	minus 3 =	1	x \$78/\$39	+39	102/202
16. If <u>any proper</u> multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)				\$260/\$130	+0	104/204
17. Surcharge for filing Declaration/filing fee late				\$130/\$65	+65	105/205
18.		<b>FILING FEE ENCLOSED =</b>				<b>\$655</b>
19. Original due date:	March 13, 1999					
20. Petition is hereby made to extend the <u>original</u> due date to cover the date this response is filed for which the requisite fee is attached	(1 mo) (2mos) (3mos) (4mos)	\$110/\$55 = \$380/\$190 = \$870/\$435 = \$1360/\$680 =	+55		115/215 116/216 117/217 118/218	
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee . . . . .		\$130	+		139	
22. If "assignment" box 5 is X'd, add recording fee. . . . .		\$40	+		581	
23. Petition Fee for		\$130	+			
24.		<b>TOTAL FEE ENCLOSED =</b>				<b>\$710</b>

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This **CHARGE STATEMENT** does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Madison & Sutro LLP  
Intellectual Property Group  
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NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments